

P-3739

Attorney Docket No. 0320-0018 HOOV 118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Michael D. Hooven	CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label No.:
Serial No.: 10/015,862	EV 459449617 US
	Date of Deposit October 4, 2004
Filed: December 12, 2001) I hereby certify that this paper or fee is being deposited with the United States Postal Service
Group Art No.: 3739	"Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is
Examiner: Rosiland S. Rollins	addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
For: TRANSMURAL ABLATION DEVICE WITH SPRING LOADED JAWS) NAME <u>Kathleen Johnson</u>) SIGNATURE <u>Half Johnson</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EV 459449617 US under 37 CFR 1.10 on October 4, 2004 and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- 1. Transmittal Form PTO/SB/21 (1 sheet);
- Information Disclosure Statement (3 sheets), in duplicate;
- 3. PTO/SB/08A (1 sheet);
- 4. U.S. Patent Publications (3);
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

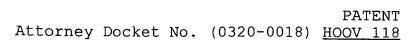
Name:	Kathleen Johnson	
Name:	<u>Kathleen Johnson</u>	

Signature: Pathlan phnson

O 1 P 1 3004 2004 2004

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/015,862 **TRANSMITTAL** Filing Date December 12, 2001 **FORM** First Named Inventor Michael D. Hooven Art Unit 3739 (to be used for all correspondence after initial filing) **Examiner Name** Rosiland S. Rollins Attorney Docket Number 0320-0018 (HOOV 118) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): - PTO/SB/08A (1 sheet) Request for Refund **Express Abandonment Request** - 3 Patent Publications CD, Number of CD(s) Certificate of Mailing Information Disclosure Statement Return Receipt Postcard Remarks Certified Copy of Priority Document(s) By U.S. Postal Service Express Mail Response to Missing Parts/ Label No. EV 459449617 US Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cook, Alex, McFarron, Manzo, Cummings, and Mehler, Ltd. Renee Barthel, Registration No. 48,356 Individual name Signature Date October 4, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Frank Ras Shail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Kathleen Johnson October 4, 2004 Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





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Group Art No.: 3739) addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.
Examiner: Rosiland S. Rollins) NAME <u>Kathleen Johnson</u>
For: TRANSMURAL ABLATION DEVICE	SIGNATURE TATATOON JOHNSON
WITH SPRING LOADED JAWS	

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the documents listed on the attached form, which documents may be material to the examination of this application. Copies of the documents are enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The first reference cited was first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/328,057 filed on December 23, 2002. The second and third cited references were first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/015,868 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any

individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 197(c), a fee under § 1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate copy of this document is enclosed.)

Date: October 4, 2004

Respectfully submitted,

By: fance of

Renée C. Barthel, Esq. Registration No. 48,356 Cook, Alex, McFarron, Manzo,

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Attorneys for Applicant

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 11

Application Number	10/015,862	
Filing Date	December 12, 2001	
First Named Inventor	Michael D. Hooven	
Art Unit	3739	
Examiner Name	Rosiland S. Rollins	
Attorney Docket Number	0320-0018 (HOOV 118)	1

Examiner	Cite	Dogument Number	Publication Date	Name of Patentee or	0.1
Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
-	1	^{US-} 6,083,150	07-04-2000	Aznoian, et al.	
	2	^{US-} 6,113,598	09-05-2000	Baker	
	3	^{US-} 6,162,220	12-19-2000	Nezhat	
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		US-		7777	
		US-			
		US-			
		US-		11-207	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.